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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/994,227

Filing Date

November 26, 2001

First Named Inventor

Alan M. Fogelman

Group Art Unit

1617

Examiner Name

Theodore J. Criares

Attorney Docket Number

407T-899210US

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits/declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☐ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts/ Incomplete Application  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)  
☐ Drawing(s)  
☐ Licensing-related Papers  
☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition  
☐ Petition to Convert to a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Small Entity Statement  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communication to Board of Appeals and Interferences  
☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  
☐ Proprietary Information  
☐ Status Letter  
☒ Additional Enclosure(s) (please identify below):

Request for Corrected Filing Receipt  
receipt acknowledgment  
postcard

## Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Tom Hunter, Reg. No. 38,498,

Quine Intellectual Property Law Group P.C.

Signature

Date

23 Oct 2003

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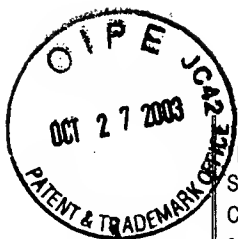
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Attorney Docket No. 407T-899210US  
Client Ref. No. 2000-283-1

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

By

  
Chianti Applin

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Alan M. Fogelman, et al.

Application No.: 09/994,227

Filed: November 26, 2001

For: **CONTROL OF A GENE INDUCED BY  
OXIDIZED LIPIDS IN HUMAN ARTERY  
WALL CELLS**

Examiner: Theodore J. Criares

Art Unit: 1617

REQUEST FOR CORRECTED FILING  
RECEIPT

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Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

**Title: --CONTROL OF A GENE INDUCED BY OXIDIZED LIPIDS IN HUMAN  
ARTERY WALL CELLS--**

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Alan M. Fogelman, et al.  
Application No.: 09/994,227  
Page 2

It is believed that no fee is due. If a fee is due, please charge Deposit Account  
No. 50-0893.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Tom Hunter', with a long horizontal stroke extending to the right.

Tom Hunter, J.D., Ph.D.  
Reg. No. 38,498

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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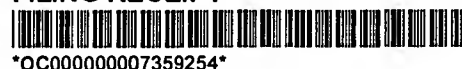
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/994,227	11/26/2001	1635	1129	407T- 899210US	13	81	8

22798  
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\*OC000000007359254\*

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## Applicant(s)

Alan M. Fogelman, Beverly Hills, CA;  
Mohamad Navab, Los Angeles, CA;

## Assignment For Published Patent Application

The Regents of the University of California;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/539,569 03/31/2000

## Foreign Applications

If Required, Foreign Filing License Granted 01/24/2002

~~Projected Publication Date: To Be Determined - pending completion of Missing Parts~~

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

## Title

Synthetic phospholipids to ameliorate atherosclerosis and other inflammatory conditions

**Preliminary Class**

514

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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